



PTO/SB/21 (09-04)

Approved for use through 07/31/2006, OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

IFW

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

TRANSMITTAL
FORM

(to be used for all correspondence after initial filing)

*Total Number of Pages in This Submission

Application Number	10725,826
Filing Date	December 2, 2003
First Named Inventor	John B. Amundson
Art Unit	Unknown
Examiner Name	Unknown
Attorney Docket Number	H0005444-9950 (1161.1140101)

ENCLOSURES (Check all that apply)

<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> After Allowance Communication to TC <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation <input type="checkbox"/> Change of Correspondence Address	<input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Terminal Disclaimer	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Request for Refund	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): 8 non-US patent references Return Postcard
<input checked="" type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> CD, Number of CD(s) _____ <input type="checkbox"/> Landscape Table on CD	<input type="checkbox"/> Remarks
<input type="checkbox"/> Certified Copy of Priority Document(s)		
<input type="checkbox"/> Reply to Missing Parts/ Incomplete Application <input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm Name	Crompton, Seeger & Tute		
Signature			
Printed name	Brian N. Tute		
Date	September 26, 2005	Reg. No.	38,638

CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below:

Signature	
Typed or printed name	Lynn Thompson
Date	September 26, 2005

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

**INFORMATION DISCLOSURE STATEMENT BY
APPLICANT**

Page 1 of 2

Atty. Docket No.:
H0005444-9950
(1161.1140101)

Serial No.:
10/725,826

Applicant: John B. Amundson et al.

Filing Date: Group Art:

December 3, 2003

unknown



U.S. PATENT DOCUMENTS

Examiner Initial	Document No.	Date	Name	Filing Date If Appropriate
	2001/0042684	11/2001	Essalik et al.	
	2001/0052459	12/2001	Essalik et al.	
	2002/0092779	07/2002	Essalik et al.	
	2003/0150926	02/2002	Rosen	
	2003/0150927	11/2002	Rosen	
	2004/0074978	09/2003	Rosen	
	5,947,372	09/1999	Tiernan	
	6,290,140	09/2001	Pesko et al.	
	6,398,118	06/2002	Rosen et al.	
	6,578,770	06/2003	Rosen	
	6,580,950	06/2003	Johnson et al.	
	6,786,421	09/2004	Rosen	

FOREIGN PATENT DOCUMENTS

Examiner Initial	Document No.	Date	Country	Translation Yes No
	EP0678204	03/2000	EP	

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

	Prolifix Inc., "Web Enabled IP Thermostats," 2 pages, prior to filing date of present application.
	ADT Security Systems, "iCenter Advanced User Interface 8142ADT User Guide," pp 1-136, 2001.
	DeKoven et al., "Measuring Task Models in Designing Intelligent Products," pp 188-189, 2002.

EXAMINER: /Charles Kasenge/

DATE CONSIDERED: 03/01/2010

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /CK/

INFORMATION DISCLOSURE STATEMENT BY APPLICANT Page 2 of 2		Atty. Docket No.: H0005444-9950 (1161.1140101)	Serial No.: 10/725,826
LIST OF PATENTS AND PUBLICATIONS		Applicant: John B. Amundson et al.	
	Filing Date:	Group Art:	
	December 3, 2003	unknown	

	http://www.hometoys.com/htinews/apr99/releases/hal01.htm , HTI News Release, pp 1-3, printed October 28, 2004.
	U.S. Patent Application Serial No. 10/440,474, filed May 15, 2003, entitled "Reverse Images in a Dot Matrix LCD for an Environmental Control Device."
	U.S. Patent Application Serial No. 10/654,230, filed September 3, 2003, entitled "Programmable Thermostat Incorporating a Liquid Crystal Display and Having a Feature for Mounting Horizontally, Vertically and any Intermediate Orientation."
	U.S. Patent Application Serial No. 10/654,235, filed September 3, 2003, entitled "Programmable Thermostat Incorporating a Liquid Crystal Display Selectively Presenting Adaptable System Menus Including Changeable Interactive Virtual Buttons."

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /CK/

EXAMINER: /Charles Kasenge/	DATE CONSIDERED: 03/01/2010
EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	